



# BLACKMONT

CAPITAL<sup>®</sup>

## Your Privacy is Important To Us

At Blackmont Capital Inc. we have always focused on ensuring that the personal information you provide to our firm is used only for the purposes for which it was intended. In January 2004, the *Personal Information Protection and Electronic Documents Act*, (“PIPEDA”) came into effect. PIPEDA governs the treatment of personal information that individuals provide to companies as part of a commercial relationship. Under the terms of this legislation we are required to obtain your consent with respect to the collection, use and disclosure of your personal information.

We have summarized below Blackmont Capital’s current practices concerning the collection, use and disclosure of our clients’ personal information. A complete description of our firm’s privacy policies and procedures can be found on our website at [www.blackmont.com](http://www.blackmont.com). We have also provided you with the names and contact information of our firm’s designated privacy officers should you have any questions or concerns in connection with these policies.

## Collection and Use of Personal Information by Blackmont Capital

When you open an account with Blackmont Capital, we obtain personal information about you that is kept on file in accordance with industry regulations. Only authorized employees, authorized third-party service providers, and regulatory authorities have access to this information. The information that we collect allows us to identify you, to protect you against possible fraud, to assess your investment needs, and to comply with legal and regulatory requirements.

## Social Insurance Number

If you are an individual, Blackmont Capital is required to collect, use and disclose your Social Insurance Number for income tax reporting purposes.

## Disclosure of Personal Information to External Sources

Blackmont Capital may, from time to time, disclose your personal information to external sources such as credit agencies, other financial institutions and other parties who provide services to our firm. We may also rely on external sources, such as credit agencies, to collect personal information about you.

## Affiliated Companies

Blackmont Capital may, from time to time, disclose your personal information to affiliated companies for the purpose of making related products and services available to you.



# BLACKMONT

CAPITAL<sup>®</sup>

## Consent

Blackmont Capital requires your consent to the collection, use and disclosure of your personal information to administer your account in the normal course of business. Your consent is also required before we may provide your information to our affiliates and any third parties for the purposes described in the paragraphs above. In certain situations, however, your personal information may be collected, used or disclosed by us without your knowledge or consent. These situations include: collection and use of publicly available information specified by regulation; disclosure to legal counsel for the purposes of obtaining advice; collection or use that is clearly in your interests in situations that we cannot obtain your consent in a timely way; use and disclosure to investigate a breach of an agreement or a contravention of a law; disclosure for the collection of a debt that you owe to us; use and disclosure in an emergency that threatens your life, health or security; disclosure to comply with a subpoena, warrant or court order, or to otherwise comply with applicable law, including securities regulatory authorities.

## Corrections to Personal Information

You may review the information you have provided to Blackmont Capital and make corrections to it. We request that you provide these corrections in writing, addressed to either of our Privacy Officers listed below:

### Head of Compliance

Blackmont Capital Inc.  
161 Bay Street  
Suite 4410  
Toronto, ON M5J 2S1

### General Counsel

Blackmont Capital Inc.  
181 Bay Street  
Suite 900  
Toronto, ON M5J 2T3

## Retention of Personal Information

We may retain your personal information on file after you cease to be a client of Blackmont Capital for as long as we require such information or as long as is demanded by our regulatory requirements.

**Having opened an account at Blackmont Capital Inc., unless you advise us otherwise in writing to the attention of the privacy officers named above, we shall assume that you are providing us with consent to collect, use and disclose your personal information for the purposes as set out herein. Although you may refuse or withdraw your consent at any time to any of these purposes, please be advised that withdrawing your consent in certain circumstances may cause us by regulation, to be unable to administer your investment account.**