



Macquarie Private Wealth

A world of opportunities

SIMPLE AND STRAIGHTFORWARD

TRANSFERRING YOUR ACCOUNT TO MACQUARIE PRIVATE WEALTH

About Macquarie

Founded in Australia in 1969, the Macquarie Group has always been committed to continuous growth. Since 1992, this global provider of banking, financial, advisory, investment and funds management services has reported successive years of profits and growth.

Macquarie Private Wealth offers investors tailored financial solutions, award-winning research, recognized financial strength, and a global vantage point — a world of opportunities to build your personal wealth.

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 macquarieprivatewealth.ca

Transferring is simple

Transferring your account from one investment firm to another is not as difficult as you might think. In most cases, the transfer is almost entirely electronic: investors simply sign a transfer form and about 10 days later their investment portfolio is transferred over to the new firm. Transferring your account to Macquarie Private Wealth will require very little effort on your part.

The transfer process has been simplified due to advances in securities holding practices. Canadian investors do not tend to hold physical certificates for the stocks and bonds they are invested in. These certificates are now mainly held in electronic form by the Canadian Depository for Securities (CDS). CDS is a reliable depository as it is subject to legislation and regulations under both federal and provincial jurisdictions.

Because it acts as the principal depository for securities traded between investment dealers, CDS is able to facilitate a fairly quick transfer of client assets between firms. Transferring an account from one brokerage to another takes about two weeks, depending on the particular investments within the account. The majority of transfers are executed electronically through a CDS system called Account Transfers Online Notification (ATON).

The three basic steps for transferring an account:

1. Read through and sign the “Request for Transfer” form supplied by your Macquarie Private Wealth Investment Advisor.
2. Macquarie Private Wealth will then use the ATON system to request the transfer from your previous firm.
3. Your previous brokerage will respond to the transfer request within 10 days.

It's as simple as that.

Other considerations

If you are transferring a RRIF account, your previous firm is legally required to make your current year minimum RRIF payment before they transfer your account.

If you are transferring a registered product, all book values (cost) will be transmitted to Macquarie Private Wealth. Non-registered accounts do not transmit this information when they are transferred. If you wish to retain this data, get the original cost information from your most recent client statement and give it to your Macquarie Private Wealth Investment Advisor who will store it for future reference.

We look forward to exceeding your expectations

While we endeavour to make the transfer process as simple and straightforward as possible, we understand that you may still have questions about certain procedures. We encourage you to speak with your Macquarie Private Wealth Investment Advisor about any concerns or questions you may have.

At Macquarie Private Wealth, we pride ourselves on our commitment to putting clients' needs first. We welcome the opportunity to work with you and present a world of opportunities to build your personal wealth.

To learn more about us, visit www.macquarieprivatewealth.ca

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